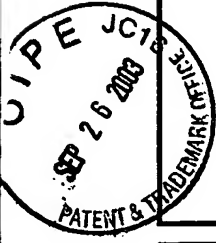


2152  
#3  
B5  
9-2-03



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No.	AUS920010712US1 (7790/61)
Application Number	10/044,997
Filing Date	JANUARY 10, 2002
First Named Inventor	CRAIG H. BECKER, ET AL.
Group Art Unit	2152
Examiner	

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Amendment	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawings:	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input checked="" type="checkbox"/> Post Card Receipt
<input type="checkbox"/> Petition for Extension of Time Request (duplic)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/>
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement and PTO-1449 with two references	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/>
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request of Refund	<input type="checkbox"/>
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>09-0447 (IBM CORPORATION)</u> . A duplicate copy of this sheet is enclosed.		
<input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. <u>09-0447</u> . A duplicate copy of this sheet is enclosed.		

## CALCULATION OF FEE

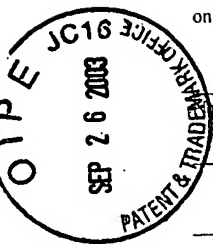
				Small Entity		or	Large Entity	
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus		0	x \$9=	0	x \$18=	
Indep.		Minus		0	x \$42	0	x \$84	
First Presentation of Multiple Dep. Claim					+\$140	---	+\$280=	
					total add'l fee	\$ 0	total add'l fee	\$

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 CARDINAL LAW GROUP 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date	September 22, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22202-1450 on this date:		September 22, 2003
Signature	 FRANK C. NICHOLAS (33,983)	Date: September 22, 2003



Certificate of Mailing  
I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail  
in an envelope addressed to Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450  
on this date: September 22, 2003  
(Date of Deposit)

FRANK C. NICHOLAS (33,983)  
Name of applicant, assignee or registered representative

[Signature]  
Signature

September 22, 2003  
Date of Signature

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PATENT  
Case No.: AUS920010712US1  
(9000/61)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CRAIG H. BECKER, ET AL.

Serial No.: 10/044,997

Filed: JANUARY 10, 2002

Title: METHOD AND SYSTEM FOR PEER TO PEER  
COMMUNICATION IN A NETWORK  
ENVIRONMENT

Examiner:

Group Art Unit: 2152

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of the references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention. Applicants were first made aware of these references with receipt of a foreign search report.

Dated: SEPTEMBER 22, 2003

Respectfully submitted,

CARDINAL LAW GROUP  
Suite 2000  
1603 Orrington Avenue  
Evanston, IL 60201  
(847) 905-7111

[Signature]  
FRANK C. NICHOLAS  
Registration No. (33,983)  
Attorney for Applicants



FORM PTO-1449	SERIAL NO. 10/044,997	CASE NO. AUS920010712US1 (9000/61)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE JANUARY 10, 2002	GROUP ART UNIT 2152
APPLICANT(S): CRAIG H. BECKER, ET AL.		

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	FILING DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	XP-002169330, SEC. 5.2 Routing Algorithms, 1996, pp. 347-367.
	A2	XP-008000376, Michael S. Marmor, "make the P2P leap with toadnode", www.webtechniques.com, December 2000, pp 44-49.

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.